

SONALI HAZARIKA

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EDUCATION

- Ph.D. in Finance, University of North Carolina at Chapel Hill, 1999-2004
- M.A. Economics, Delhi School of Economics, Delhi University, 1994-1996
- B.A. (Hons.) in Economics, Hansraj College, Delhi University, 1991-1994

EMPLOYMENT

- Assistant Professor of Finance, Zicklin School of Business, Baruch College, CUNY, Fall 2004 - present
- Tata Consultancy Services, Business Analyst, 7/96-7/99

PUBLICATIONS

- Internal Corporate Governance, CEO Turnover, and Earnings Management (with Jonathan M. Karpoff and Rajarishi Nahata), *Journal of Financial Economics*, 104(1), 44-69, 2012.
- Governance, Debt and Investment Policy during the Great Depression (with John Graham and K. Narasimhan), *Management Science*, **lead article** 57 (12), 2083–2100, 2011.
- Financial Distress in the Great Depression (with John Graham and K. Narasimhan), *Financial Management*, **lead article** 40(4), 821-844, 2011.
- Equity Market Microstructure: Taking Stock of What We Know (with Reto Francioni, Martin Reck and Robert A. Schwartz), *Journal of Portfolio Management* 35(1), 57-71, 2008.
- Security Market Microstructure: The Analysis of a Non-Frictionless Market (with Reto Francioni, Martin Reck and Robert A. Schwartz), book chapter in the *Handbook of Quantitative Finance and Risk Management*, C.F. Lee and Alice Lee, ed. Springer Science +Business, February 2010.

PAPERS UNDER REVIEW AND COMPLETED PAPERS

- Success in Global Venture Capital Investing: Do Institutional and Cultural Differences Matter? (with Rajarishi Nahata and Kishore Tandon, *Journal of Financial and Quantitative Analysis*, revise and resubmit)
- Demutualization: An Assessment
- Competition in Exchange Markets: NASDAQ vs Instinet

CONFERENCE ACCEPTANCES AND PRESENTATIONS

- American Finance Association (AFA) Meetings, Chicago, January 2012. “Financial Distress in the Great Depression”
- Western Finance Association (WFA) Meetings, Santa Fe, June 2011. “Governance, Debt and Investment Policy during the Great Depression”
- Triple Crown Conference, New York, April 2011. “Success in Global Venture Capital Investing: Do Institutional and Cultural Differences Matter”
- 20th Financial Economics and Accounting Conference, Rutgers Business School, New Jersey, 2009. “Success in Global Venture Capital Investing: Do Institutional and Cultural Differences Matter”

- Conference on Entrepreneurship and Growth, World Bank, Washington D.C., 2009. “Success in Global Venture Capital Investing: Do Institutional and Cultural Differences Matter”
- 4th Annual Conference on Empirical Legal Studies, Law School, University of Southern California, 2009. “Success in Global Venture Capital Investing: Do Institutional and Cultural Differences Matter”*
- Financial Management Association (FMA) Meetings, Reno, NV, October 2009. “Internal Corporate Governance, CEO Turnover, and Earnings Management”
- CAF Summer Research Conference in Finance, Indian School of Business, Hyderabad, 2009. “Internal Corporate Governance, CEO Turnover, and Earnings Management”*
- Annual Conference on Corporate Governance and Fraud Prevention, School of Management, George Mason University, 2009. “Internal Corporate Governance, CEO Turnover, and Earnings Management”*
- 4th Annual Conference on Corporate Finance, Olin School of Business, Washington University, 2007. “Governance, Debt and Investment Policy during the Great Depression”
- Financial Management Association (FMA) Meetings, Chicago, IL, 2005. “Governance Change in Stock Exchanges”
- Baruch College, Zicklin School of Business, New York, NY, 2004. “Governance Change in Stock Exchanges”
- University of Texas at Dallas, The School of Management, 2004. “Governance Change in Stock Exchanges”
- Southern Finance Association (SFA) Meetings, Mystic, CT, 2003. “Governance Change in Stock Exchanges”
- Invited to the Doctoral Students Seminar at FMA, Denver, CO, October 2003

*Presented by co-author

TEACHING EXPERIENCE

- Zicklin School of Business, Baruch College (Average evaluation 4.4/5)
Fin 3610, Corporate Finance (undergraduate & honors program)
Fin 9770, Financial Decision Making (core course, MBA program)
- Kenan-Flagler Business School, University of North Carolina at Chapel Hill
BUSI 180, Introduction to Corporate Finance (undergraduate program)

AWARDS AND HONORS

- Best Paper Award for “Internal Corporate Governance, CEO Turnover, and Earnings Management” at the 2nd Annual Conference on Corporate Governance and Fraud Prevention, School of Management, George Mason University, May 2009
- Professional Staff Congress - CUNY Research Grants, 2004, 2005, 2006, 2007, 2010, 2012
- Baruch College Faculty Recognition Award for research excellence 2009, 2010, 2011
- University of North Carolina at Chapel Hill Graduate Scholarship, August 1999 – May 2003
- Hansraj College Merit Scholarship, University of Delhi, May 1994

PROFESSIONAL SERVICE ACTIVITIES

- Ad hoc Referee for *Management Science*, *Managerial Finance*, *The Financial Review*
- Member of the American Finance Association, Western Finance Association

REFERENCES

Available on request